

# About AxiomSL™

## PLATFORM FOR CHANGE

### VALUE ADD

**REDUCE COST AND MANUAL WORKAROUNDS THROUGH AUTOMATION**



**ENSURE STEADY BUSINESS TRANSFORMATION VIA PROCESS AND CONTROL ENHANCEMENTS**



**LEVERAGE DATA LINEAGE TO STREAMLINE AND OPTIMIZE BUSINESS PROCESS**



**ACHIEVE FASTER TIME-TO-MARKET THROUGH VISUAL BUSINESS RULES AND RELIABLE DATA INTEGRITY**



**INTERFACE SEAMLESSLY ACROSS BUSINESS FUNCTIONS**



**BCBS-239 COMPLIANT**



**CLOUD-ENABLED SOLUTION FOR REGULATORY COMPLIANCE**

AxiomSL is the global leader in risk data management and regulatory reporting solutions. AxiomSL's unique enterprise data management platform empowers firms to address data governance and risk aggregation objectives while delivering the analytics, workflow automation, validation, traceability, data lineage and reporting required by multiple stakeholders across global and local regulatory landscapes.

### A CHALLENGING REGULATORY LANDSCAPE

Because today's financial services firms sit at the confluence of major internal and external change, they need to address more intrusive, fragmented, and data-intensive regulatory regimes that demand ever more granular and transparent data delivered at ever increasing frequencies.

Regimes such as BCBS 239, Dodd-Frank, Basel III and IV, Liquidity, FRTB, MiFID II, CFO Attestation, FATCA/CRS, Global Shareholding Disclosures, IFRS 9 and CECL exemplify this explosion of intricacy, change and scope. With increased complexity and scope, come vastly expanded data pools. Regulators expect timely, comprehensive reporting, and at the same time they demand improved governance, data quality and controls. These challenges are exacerbated by the omnipresent drive for growth amidst ubiquitous budget cuts.

Managing in this environment requires greater economy of scale, information consistency, and operational transparency. Firms must be prepared to respond to the wide range of prudential reporting requirements as well as the elevation of standards around enterprise data management.

### PLATFORM FOR CHANGE

AxiomSL's Platform for Change empowers financial firms to stop seeing regulations as burdens and start seeing reporting results as opportunities to enhance information flow across business functions.

By weaving regulatory-compliance investment into the fabric of their enterprise-level risk-enabled decision-making, firms are positioned to draw business intelligence from their regulatory compliance data-gathering and reporting activities, and to gain efficiency.

**The constant state of change, whether regulatory or geopolitical, makes CEOs and senior executives realize that it is not about developing new whizzy apps as point solutions, but it is about transforming businesses for future success.**

—ALEX TSIGUTKIN,  
GROUP CEO AXIOMSL

### **DATA SILOS AND STRUGGLES**

As the quantity of structured and unstructured data grows and requirements for transparency and traceability increase, the volume and granularity of data demanded from regulated entities also grows. Data must flow seamlessly through an organization's products and lines of business not only to support its growth strategies but also to meet ever-expanding regulatory-compliance mandates.

All too often, firms attempt to address these data challenges by creating more silos within the enterprise. Data and process fragmentation cause firms to struggle as they attempt to aggregate, enrich, reconcile and validate vast amounts of information in order to accurately analyze and report their overall risk exposures and financial positions.

### **NEXT STEPS**

To turn regulatory drivers into key business drivers, firms should implement a data and process-driven platform that:

- Delivers scalability to operate on larger data sets and run risk analysis on longer time periods;
- Improves data management to curate and store much larger sets of data;
- Provides a flexible infrastructure to adapt quickly to new and more stringent regulatory, business and technical mandates;
- Reuses and leverages data and processes to deliver trusted information; and
- Provides data lineage that identifies the source of every input and demonstrates how each item navigates the workflow.

### **AXIOM'S STRATEGIC, SEAMLESS APPROACH**

AxiomSL's data and process-driven technology enables firms to leverage existing data and risk-management infrastructures, vastly reducing operational and implementation costs and accelerating time-to-market. This state-of-the-art platform, which acts as an integration and application development layer, is implemented with minimal internal disruption and without any black-box obfuscation.

AxiomSL's integrated enterprise data management (EDM) platform delivers the traceability, transparency, lineage and clarity about an organization's financial positions and risk exposures required by both regulators and internal management. With AxiomSL's strategic platform, firms can quickly cope with changes in regulations, risk, finance and compliance, cutting through layers of complexity when aggregating risk data to meet regional and global capital adequacy, liquidity calculations and disclosure requirements.

At the crux is trusted data. Because AxiomSL delivers accurate, traceable, trustworthy regulatory reporting information, top management can attest with confidence.

# ONE STRATEGIC PLATFORM FOR GOVERNANCE, RISK AND COMPLIANCE



## FINREG AND FINSTAT REPORTING

Global and Regional Regulatory Reports

Broker Dealer Reports

Asset Management Reports

CFO Attestation

Resolution Planning



## LIQUIDITY AND INTEREST RATE

LCR

NSFR

ALM

IRRBB

FTP

Liquidity Stress Testing



## CAPITAL AND CREDIT RISK SOLUTIONS

Basel III and IV

FRTB

SA-CCR

Large Exposure

IFRS 9 / CECL

AnaCredit

IRSF 17 + Solvency II



## TAXES

FATCA

CRS



## OPERATIONS AND TRANSACTIONS

Trade and Transactions

MiFID II

Global Shareholding Disclosures

EMIR, SFTR, FinFraG, etc.

**Data lineage • BCBS-239 compliant**  
**Cloud-enabled solution for regulatory and risk compliance**

# AWARDS

## and RECOGNITION

**AXIOMSL™**

Leveraging more than 25 years' experience, AxiomSL combines deep industry expertise with an intelligent data management platform to deliver services around regulatory and risk reporting, liquidity, capital and credit, operations, trade and transactions, and tax analytics. Its global footprint spans a client base of regional and global financial institutions with more than \$39 trillion in total assets and covers more than 70 regulators, 50 jurisdictions and 4,000 regulatory reports.

AxiomSL's collaborative platform is known for its robustness, adaptability, transparency and state-of-the-art data-lineage module. The platform, which imposes no constraints on where the data is located, seamlessly integrates clients' source data from disparate systems. AxiomSL's enterprise-wide approach enables clients to reduce implementation costs, accelerate time to market and deliver trusted information.

AxiomSL's data integrity and control platform and outstanding client-service satisfaction have been recognized by many industry leaders and observers, including the Waters Technology Rankings, RegTech Awards, American Financial Technology Awards, The Asian Banker Award and Chartis RiskTech100 rankings.

Chartis RiskTech  
Quadrant  
Category Leader  
Data Integrity &  
Control Solutions 2018



Chartis RiskTech  
Quadrant  
Category Leader  
Data Integrity &  
Control Solutions 2016

Chartis RiskTech  
Quadrant  
Category Leader  
Best Customer Satisfaction  
Ranking 2015

Chartis RiskTech  
Quadrant  
Category Leader  
MiFID II Reporting  
Solutions 2017

Chartis RiskTech  
Quadrant  
Category Leader  
Sell-Side Risk Management  
Technology 2015



Best Reporting Product

IFRS 9 Enterprise  
Solution of the Year  
and  
Regulation Capital  
Calculation Product  
of the Year



Best Reporting System Provider



**Awards 2018**  
Best Reporting Platform  
for the Sell-Side:  
AxiomSL

**Awards 2017**  
Best Implementation  
at a Sell-Side Firm:  
AxiomSL

**Awards 2016**  
Best Implementation  
at a Sell-Side Firm:  
AxiomSL



Compliance in Risk  
Technology  
Implementation

Risk Technology Implementation  
Awards 2016

Strategic Business  
Intelligence for  
The Financial Services  
Community

Achievement in Risk  
Analytics  
Technology

Risk Management  
Awards 2013



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