



C&L2020 ANNUAL SEMINAR

MARCH 15-18 | JW MARRIOTT GRANDE LAKES, ORLANDO, FL



SPONSORSHIP & EXHIBITOR PROSPECTUS

SPONSOR

EXHIBIT & ADVERTISE

2019 PARTICIPANTS

2000+
PARTICIPANTS

350+
SPEAKERS

60+
SESSIONS

80+
SPONSORS &
EXHIBITORS

TESTIMONIALS FROM PAST PARTICIPANTS

“It’s a capsule to have all the **regulators** you care about, to have all your **peers** to brainstorm with, and to give back to others what you’re taking in yourself.”

“This is my first time at this event, and I’ve heard a lot about it. It has **vastly exceeded my expectations**. This is truly one-stop shopping.”

“You’ll learn information here that you really **cannot get anywhere else.**”



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Diana Serri | Managing Director, Head of Exhibit & Sponsorship Sales | 212.313.1258 | dserri@sifma.org

Our attendees want to meet with you.

Law firms, consulting firms and service providers who specialize in compliance and legal products and/or services are of prime interest to this targeted audience. In fact, 98% of our 2019 survey respondents found our sponsors relevant and more than 30% spent over 30 minutes of their time meeting with them.

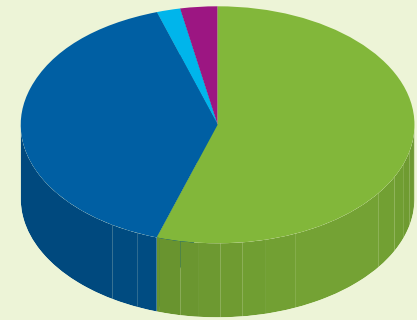
Our participants specifically ask for solution providers in fields including:

- Cloud Computing
- Compliance Software and Solutions
- Consulting
- Document Management Reading and Support
- Law Firms
- Litigation
- Media and Communications
- Reporting Solutions
- Surveillance Systems
- Technology Services
- Training

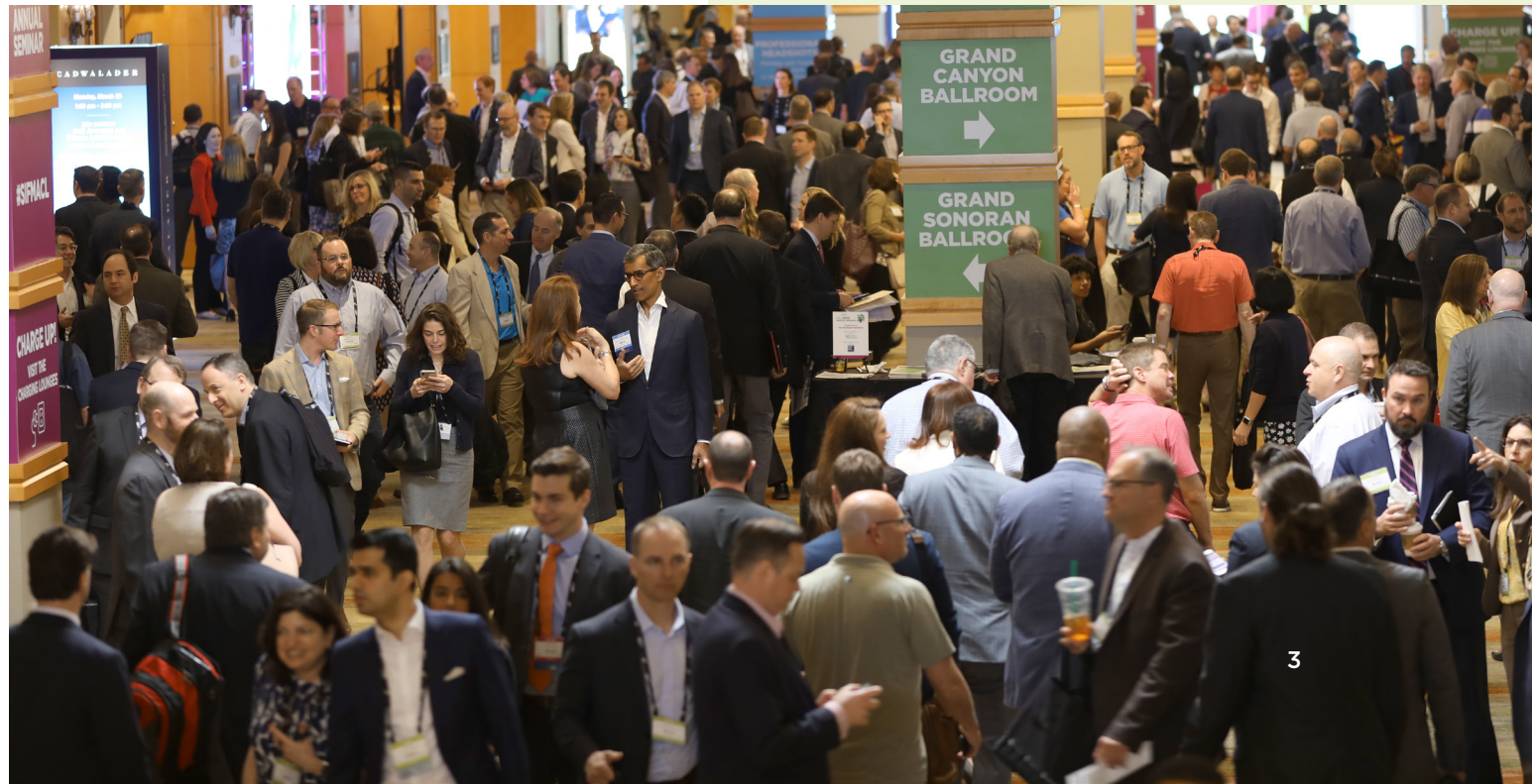
Who Attends

- Chief Compliance Officers
- Chief Legal Officers
- General Counsels
- In-House Counsel
- Outside Counsel and Senior Law Firm Partners
- Regulators
- Consultants

2019 C&L Annual Seminar Delegate Profile



- In-House Counsel/Sr. Compliance Officers & Sr. Executives – 55%
- Outside Counsel/Sr. Law Firm Partners – 40%
- Consultants – 2%



Sponsorship Packages

The 2020 SIFMA C&L Annual Seminar Sponsorship Packages are designed to enhance your firm’s presence before, during and after the event. With branding, advertising and content marketing opportunities, we offer multiple levels of sponsorship which can be customized to maximize your firm’s marketing goals and objectives.

LEVEL	INVESTMENT		PASSES			ROOMS**	PACKAGE
	Member Rate	Other	Comp	Discount	Guest	Access to Main Hotel	
PRESIDENTIAL	\$50,000+	\$55,000+	4	3	1	7	Custom-built packages for our top sponsors and exhibitors; contact us to plan yours
DIAMOND	\$36,000	\$41,000	2	2	1	4	Your choice of Networking Receptions, Bottled Water or Wi-Fi
PLATINUM	\$29,500	\$34,500	1	2	1	3	Your choice of Badge Lanyards, Charging/Networking Lounge, Hotel Room Key Cards, Networking Lunch, Mobile App or SIFMA SmartBrief Ad Packages
GOLD	\$26,000	\$31,000	1	1	0	2	Your choice of Continental Breakfast, Golf Tournament or SIFMA SmartBrief Ad Packages
SILVER	\$19,000	\$23,000	1	0	0	1	Your choice of Welcome Hospitality Break, Women’s Luncheon, Diversity & Inclusion Breakfast or Lunch, Morning Networking Break, Professional Headshots, Run for a Purpose, SIFMA SmartBrief Ad Packages, Note Pads, Pocket Guides, Charging Sticks, Reusable Straws, Water Bottles or Hydration Stations
BRONZE	\$16,000	\$19,000	1	0	0	1	Your choice of Daily Newspapers or All-Day Coffee Service
PREMIUM PATRON	\$11,000	\$14,000	0	1	0	1	N/A
EXHIBITOR*	\$10,000	\$13,500	0	1	0	2	8’x10’ Exhibit Space

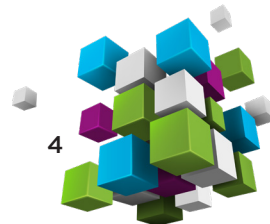
* Includes 2 exhibit personnel passes (access to Exhibit Hall only)

Private Parties/Dinners

In the interest of the success of SIFMA’s C&L Annual Seminar and in accordance with SIFMA’s Sponsorship and Exhibitor Agreement, all Sponsoring & Exhibiting Firms agree to NOT extend invitations, host any events (social or business) or otherwise encourage absence of attendees from the Event during SIFMA’s official Seminar Hours, which include educational as well as networking functions. Should any firm have an interest in hosting a private event, please refer to the program schedule so that you can plan accordingly. All events being hosted at the designated SIFMA C&L Annual Seminar Venue are subject to a pre-approval process by SIFMA. SIFMA requires all contracted partners, sponsors and exhibitors to use the Proper Title of the event and/or the official SIFMA Event Creative for use in promoting your firm’s participation at the event, which includes, but is not limited to all promotional messaging, extending invitations (digital and/or print) to privately hosted functions, etc. Upon request, SIFMA will provide artwork of the event creative in multiple formats for use. All invitations and/or promotional materials must be submitted to SIFMA for final approved.

**Hotel Room Block

A block of hotel rooms has been reserved at the JW Marriott Grande Lakes, Orlando for SIFMA C&L Annual Seminar sponsors and exhibitors. All room charges, including taxes and service fees, will be the responsibility of the individual utilizing the hotel room. All reservations are based on availability. Hotel room reservation links will be sent to all contracted sponsors once the hotel room block becomes available and contracts are confirmed.



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Get maximum value, broaden your reach & propel your brand

Applicable for all sponsorship levels:

Pre-Seminar Benefits

- Exposure to more than 2,000 influencers and decision makers registered for the Seminar with prominent placement of logo and firm profile listed on Seminar website, www.sifma.org/clannual/
- Access to the 2020 SIFMA C&L Annual Seminar Sponsors and Exhibitors hotel room block.*
- Prominent placement on SIFMA's email marketing campaigns to more than 10,000 targeted decision-makers.
- Preliminary mailing lists of 2020 SIFMA C&L Annual Seminar registrants. List sent four weeks in advance of the Seminar.**

Days of Seminar Benefits

- Complimentary and/or discounted SIFMA C&L Annual Seminar registration(s), including access to all functions
- Dedicated sponsor listing placed within the SIFMA C&L Annual Seminar mobile app.
- Prominent recognition during the sponsored function (if applicable), with logo displayed on dedicated print and digital signage that is strategically placed in areas including registration area, general session slides, a sponsor recognition video loop, and more.

Post-Seminar Benefits

- Final mailing list of all 2020 SIFMA C&L Annual Seminar registrants (name, title, firm and postal address only). List sent at conclusion of the Annual Seminar.**
- Listing company name, placement of logo and firm profile on event website following the meeting for an additional three (3) months.
- Logo placement on all post-event email campaigns, including attendee survey as well as content roundup, sent to all participants as well as 10,000 influencers and decision-makers.

* Sponsor is responsible for all room charges. See page 4 for details.

**Includes only U.S.-based participants who do not opt out during the registration process; does not include email addresses (view SIFMA's Privacy Policy at www.sifma.org/privacy).



PRESIDENTIAL



DIAMOND



PLATINUM

GOLD



CLEARY GOTTLIB



WACHTELL
LIPTON
ROSEN &
KATZ

SILVER



SHEARMAN & STERLING LLP



WILMERHALE
WILMER CUTLER PICKERING HALE AND DORR LLP

BRONZE

PREMIUM PATRON



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PRESIDENTIAL

INVESTMENT

\$50,000+ SIFMA Member Rate

\$55,000+ Non-Member Rate

For the truly committed, the Presidential tier offers a sponsoring firm an escalated level of sponsorship, determined by a firm's overall investment at the Annual Seminar. Firms who invest in sponsorship of \$50,000 (Member Firm) / \$55,000 (Non-Member) or more are automatically escalated to this top tier of sponsorship (inclusive of investment of exhibit space, à la carte sponsorship, as well as branding and advertising opportunities).

PRESIDENTIAL BENEFITS

- Four Complimentary Seminar Registrations
- Three 50% Discounted Seminar Registrations (Early Bird Rate)
- One Complimentary Guest Registration
- Access to Seven Hotel Rooms at the Main Hotel

SPONSORSHIP

CO-BRANDED ATTENDEE BAG - SOLD

High-quality attendee tote bags are produced by SIFMA and distributed to all participants during registration.



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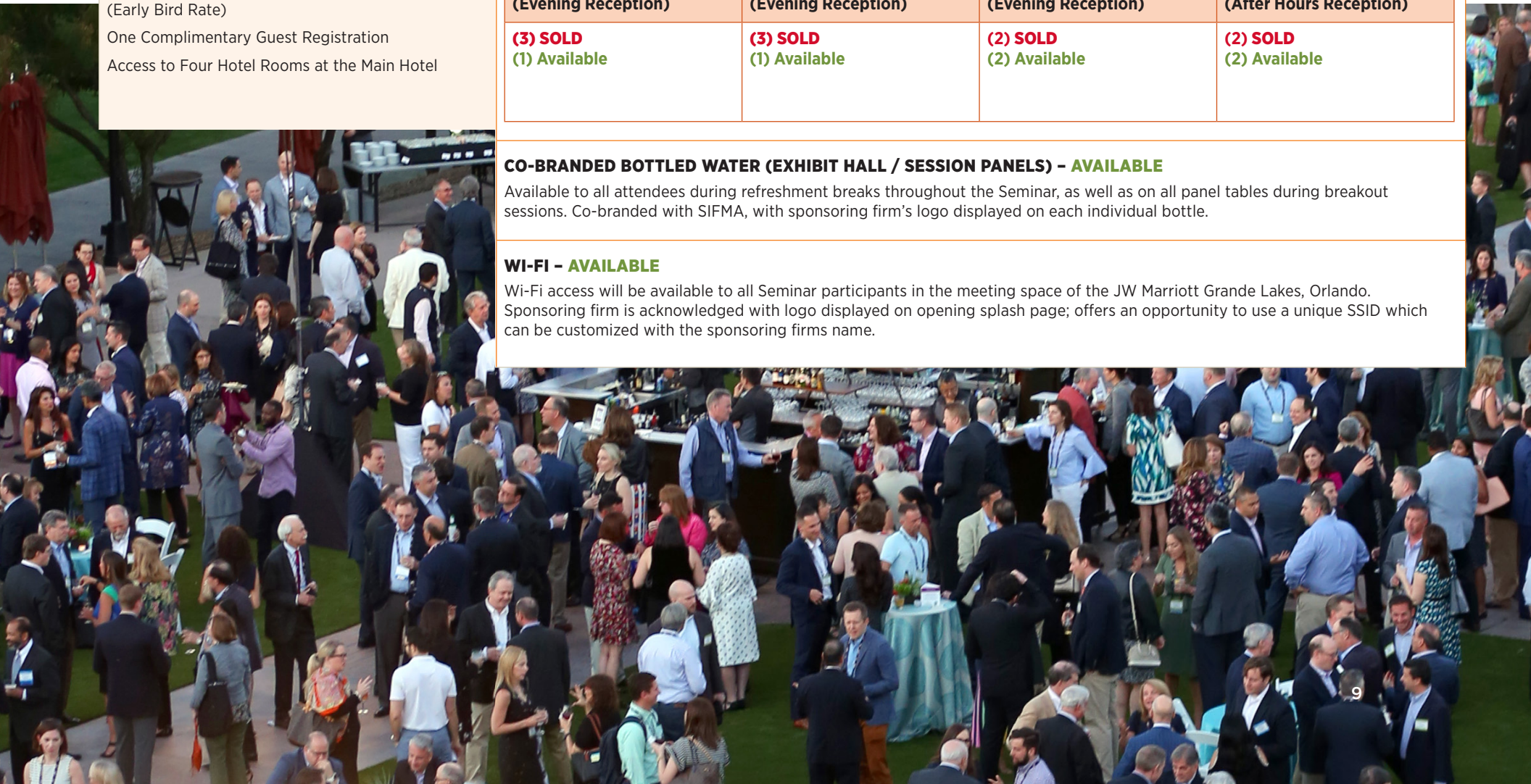
DIAMOND	SPONSORSHIP											
<p>INVESTMENT</p> <p>\$36,000 SIFMA Member Rate \$41,000 Non-Member Rate</p> <p>DIAMOND BENEFITS</p> <p>Two Complimentary Seminar Registrations Two 50% Discounted Seminar Registrations (Early Bird Rate) One Complimentary Guest Registration Access to Four Hotel Rooms at the Main Hotel</p>	<p>NETWORKING RECEPTIONS</p> <p>Networking Receptions are scheduled for two hours each evening and are set with hot and cold buffet stations, passed hors d'oeuvres and open bar. Each event is planned at an outdoor location (weather permitting), offering attendees the opportunity to come together and catch up on the day's events in an enjoyable, informal setting. Open to all attendees.</p> <p>Co-sponsorship offered to four firms at each reception:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="background-color: #fff9e6;">Sunday, March 15 (Evening Reception)</th> <th style="background-color: #fff9e6;">Monday, March 16 (Evening Reception)</th> <th style="background-color: #fff9e6;">Tuesday, March 17 (Evening Reception)</th> <th style="background-color: #fff9e6;">Tuesday, March 17 (After Hours Reception)</th> </tr> </thead> <tbody> <tr> <td style="background-color: #fff9e6;">(3) SOLD (1) Available</td> <td style="background-color: #fff9e6;">(3) SOLD (1) Available</td> <td style="background-color: #fff9e6;">(2) SOLD (2) Available</td> <td style="background-color: #fff9e6;">(2) SOLD (2) Available</td> </tr> </tbody> </table>				Sunday, March 15 (Evening Reception)	Monday, March 16 (Evening Reception)	Tuesday, March 17 (Evening Reception)	Tuesday, March 17 (After Hours Reception)	(3) SOLD (1) Available	(3) SOLD (1) Available	(2) SOLD (2) Available	(2) SOLD (2) Available
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(3) SOLD (1) Available	(3) SOLD (1) Available	(2) SOLD (2) Available	(2) SOLD (2) Available									

CO-BRANDED BOTTLED WATER (EXHIBIT HALL / SESSION PANELS) - AVAILABLE

Available to all attendees during refreshment breaks throughout the Seminar, as well as on all panel tables during breakout sessions. Co-branded with SIFMA, with sponsoring firm's logo displayed on each individual bottle.

WI-FI - AVAILABLE

Wi-Fi access will be available to all Seminar participants in the meeting space of the JW Marriott Grande Lakes, Orlando. Sponsoring firm is acknowledged with logo displayed on opening splash page; offers an opportunity to use a unique SSID which can be customized with the sponsoring firms name.



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PLATINUM	SPONSORSHIP								
<p>INVESTMENT \$29,500 SIFMA Member Rate \$34,500 Non-Member Rate</p> <p>PLATINUM BENEFITS One Complimentary Seminar Registration Two 50% Discounted Seminar Registrations (Early Bird Rate) One Complimentary Guest Registration Access to Three Hotel Rooms at the Main Hotel</p>	<p>LUNCH – 2 AVAILABLE A networking buffet lunch, offering sponsoring firm prominent brand recognition. Set in an indoor or outdoor location (weather permitting), allowing for one-on-one conversations with industry colleagues, clients and potential prospects.</p> <p>Co-sponsorship offered to four firms at each reception:</p> <table border="1" data-bbox="728 464 1971 573"> <thead> <tr> <th data-bbox="728 464 1136 503">Monday, March 16</th> <th data-bbox="1144 464 1551 503">Tuesday, March 17</th> <th data-bbox="1560 464 1971 503">Wednesday, March 18</th> </tr> </thead> <tbody> <tr> <td data-bbox="728 509 1136 573">(1) Reserved (1) Available</td> <td data-bbox="1144 509 1551 573">(1) Reserved (1) Available</td> <td data-bbox="1560 509 1971 573">(2) Available</td> </tr> </tbody> </table> <p>MOBILE APP – SOLD An exclusive opportunity, the Annual Seminar mobile app offers a sponsoring firm maximum exposure with branding on its splash page as well as a dedicated rotating banner advertisement. The mobile app captures all program materials including the meeting agenda, schedule, speaker bios, attendee list, sponsors and exhibitors, LinkedIn/Twitter options, and more.</p> <p>SIFMA SMARTBRIEF PACKAGE – AVAILABLE SIFMA SmartBrief is a concise, comprehensive briefing on top industry news that drives best-in-class click through rates and consistent reader engagement. Reach a broad but targeted audience of nearly 60k dedicated subscribers using our customized packages for SIFMA's C&L Annual Seminar. See page 16 for more information.</p> <ul data-bbox="728 911 1331 1089" style="list-style-type: none"> • Pre-show Conference Report (value \$15,000) • Post-show Conference Report (value \$10,000) • 2x Billboards or News 1 Rectangle-Text (value \$2,950 each) • 1x News 2 Rectangle-text (value \$2,525) • 1x Landing Page (value \$1,000) 			Monday, March 16	Tuesday, March 17	Wednesday, March 18	(1) Reserved (1) Available	(1) Reserved (1) Available	(2) Available
Monday, March 16	Tuesday, March 17	Wednesday, March 18							
(1) Reserved (1) Available	(1) Reserved (1) Available	(2) Available							



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PLATINUM	SPONSORSHIP
<p>INVESTMENT</p> <p>\$29,500 SIFMA Member Rate \$34,500 Non-Member Rate</p> <p>PLATINUM BENEFITS</p> <ul style="list-style-type: none"> One Complimentary Seminar Registration Two 50% Discounted Seminar Registrations (Early Bird Rate) One Complimentary Guest Registration Access to Three Hotel Rooms at the Main Hotel 	<p>BADGE LANYARD - SOLD</p> <p>What better way to leave an impression than to have 2,000+ attendees wearing your firm's name/logo for the duration of the Annual Seminar? Name badge lanyards are worn around the neck of each attendee to gain access to all sessions and event networking functions.</p> <p>Co-branded, pre-approved lanyards are produced by sponsoring firm and distributed with registration materials to all Seminar participants.</p> <hr/> <p>CHARGING/NETWORKING LOUNGE - RESERVED</p> <p>The Charging Lounge is designed with branding of sponsoring firms' logo, set with comfortable seating in prime, high trafficked location, allowing individuals to charge their personal devices while networking with their peers.</p> <hr/> <p>HOTEL ROOM KEY CARDS - RESERVED</p> <p>Co-branded Hotel Room Key Cards are produced by SIFMA and distributed to all Seminar participants. Includes sponsoring firm's logo and messaging printed on the face of each key card.</p>



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GOLD

INVESTMENT

\$26,000 SIFMA Member Rate

\$31,000 Non-Member Rate

GOLD BENEFITS

One Complimentary Seminar Registration

One 50% Discounted Seminar Registrations (Early Bird Rate)

Access to Two Hotel Rooms at the Main Hotel

SPONSORSHIP

CONTINENTAL BREAKFAST

Attendees start their day with a networking breakfast that offers prominent brand recognition to the sponsoring firm. A variety of healthy breakfast choices along with hot and cold beverages are set in the Exhibition Hall, allowing for one-on-one conversations with industry colleagues, clients and potential prospects.

Co-sponsorship offered to two firms each day:

Monday, March 16	Tuesday, March 17	Wednesday, March 18
(2) SOLD	(2) SOLD	(2) Available

GOLF TOURNAMENT - SOLD

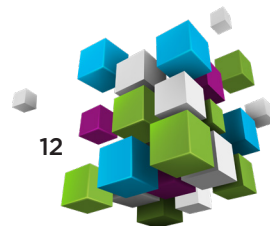
Exclusive sponsorship at a championship-level golf course! In designing The Ritz-Carlton Golf Club, Orlando, Grande Lakes, two-time British Open Champion Greg Norman and his team put special emphasis on preserving the area's well-balanced ecosystem, thriving with ponds, wetlands, live oaks, and cypress heads. The result is a breathtakingly beautiful course enhanced by rare natural elements. Wide fairways, strategically placed hazards, generous landing areas, and subtly contoured greens provide a challenging but fair test of a golfer's abilities.

Acknowledgment of Tournament sponsorship includes signage strategically displayed on the golf course greens as well as on tournament marketing materials which includes website, email, event app and event program materials. Sponsorship fees cover food, beverage and prizes for tournament participants as well as two complimentary foursomes for sponsoring firm. Individual greens fees are pre-paid by each participating golfer.

SIFMA SMARTBRIEF PACKAGE - AVAILABLE

SIFMA SmartBrief is a concise, comprehensive briefing on top industry news that drives best-in-class click through rates and consistent reader engagement. Reach a broad but targeted audience of nearly 60k dedicated subscribers using our customized packages for SIFMA's C&L Annual Seminar. See page 16 for more information.

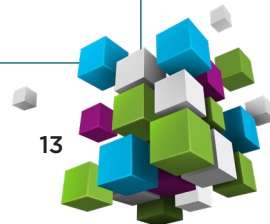
- 1x Topic-Based Special Report (value \$15,000)
- 3x Billboards or News 1 Rectangle-text (value \$2,950 each)
- 2x News 2 Rectangle-text (value \$2,525)
- 1x News 3 Rectangle-text (value \$2,250)



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SILVER	SPONSORSHIP																						
<p>INVESTMENT \$19,000 SIFMA Member Rate \$23,000 Non-Member Rate</p> <p>SILVER BENEFITS One Complimentary Seminar Registration Access to One Hotel Room at the Main Hotel</p>	<p>WELCOME HOSPITALITY BREAK – SOLD SUNDAY, MARCH 15 Networking opportunity offering prominent brand recognition, serving a variety of healthy snacks as well as hot and cold beverages, set in the Registration/Exhibition Hall, allowing for one-on-one conversations as industry colleagues, clients and potential prospects check in for the event.</p>																						
	<p>WOMEN’S LUNCHEON – SOLD MONDAY, MARCH 16 Networking lunch with a featured Speaker addressing issues in today’s working environment. Sponsoring firm representatives are invited to sit at one of the reserved VIP tables.</p>																						
	<p>DIVERSITY & INCLUSION BREAKFAST – SOLD TUESDAY, MARCH 17 Networking breakfast with a featured Speaker addressing Diversity & Inclusion issues in today’s working environment. Sponsoring firm representatives are invited to sit at a reserved VIP table.</p>																						
	<p>DIVERSITY & INCLUSION LUNCH – SOLD TUESDAY, MARCH 17 Networking lunch with a featured Speaker addressing Diversity & Inclusion issues in today’s working environment. Sponsoring firm representatives are invited to sit at a reserved VIP table.</p>																						
	<p>MORNING NETWORKING REFRESHMENTS Co-Sponsorship offered to two firms during each networking break, set in the Registration/Exhibition Hall.</p>																						
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Monday, March 16		Tuesday, March 17		Wednesday, March 18																			
Session A	Session B	Session A	Session B	Session A	Session B																		
(1) SOLD (1) Reserved	(1) SOLD (1) Reserved	(1) SOLD (1) Available	(2) Available	(2) Available	(2) Available																		
<p>FUTURE LEADERS & FIRST TIMERS RECEPTION AVAILABLE Networking Reception attended by C&L Society Members who are Future Leaders of the industry with 10-15 years-experience as well as members of the Future Leaders Group of the C&L Society and First-Time participants attending the C&L Annual Seminar. SIFMA C&L Society Committee Members will be in attendance as well.</p>																							
<p>MEDITATION LOUNGE - MONDAY & TUESDAY, MARCH 16-17 AVAILABLE The Meditation Lounge is designed for individuals who are looking for a quiet, semi-private location, where they can take a short break while attending the Seminar.</p>																							



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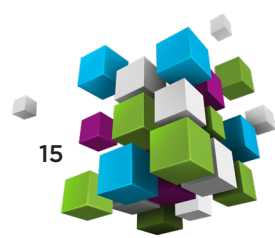
SILVER	SPONSORSHIP
<p>INVESTMENT \$19,000 SIFMA Member Rate \$23,000 Non-Member Rate</p>	<p>PROFESSIONAL HEADSHOTS – RESERVED MONDAY, MARCH 16 AND TUESDAY, MARCH 17</p> <p>Whether it's for LinkedIn, social media, or any other professional opportunity, a professional headshot offers participants an opportunity to convey a positive message about themselves to future clients, employers, and event participants.</p>
<p>SILVER BENEFITS One Complimentary Seminar Registration Access to One Hotel Room at the Main Hotel</p>	<p>RUN FOR A PURPOSE – SOLD MONDAY, MARCH 16</p> <p>Morning run/walk, organized by SIFMA, offers co-branded T-Shirts and/or socks distributed to all participants, along with beverages & prizes. Donation to a TBD Charity. Details to be confirmed as program develops.</p>
	<p>SIFMA SMARTBRIEF PACKAGE – SOLD</p> <p>SIFMA SmartBrief is a concise, comprehensive briefing on top industry news that drives best-in-class click through rates and consistent reader engagement. Reach a broad but targeted audience of nearly 60k dedicated subscribers using our customized packages for SIFMA's C&L Annual Seminar. See page 16 for more information.</p> <ul style="list-style-type: none"> • 2x Billboards (value \$2,950 each) • 3x News 1 Rectangle-text (value \$2,950) • 4x News 2 Rectangle-text (value \$2,525)
	<p>NOTE PADS – AVAILABLE</p> <p>Co-Branded tablet, produced and provided by sponsoring firm, placed in attendee registration bag and distributed to all participants during registration.</p> <p>POCKET PROGRAM GUIDE – SOLD</p> <p>An at-a-glance program guide offers an opportunity to be the exclusive sponsor that attendees see each time they reference the guide for Seminar information.</p>



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SILVER	SPONSORSHIP
<p>INVESTMENT \$19,000 SIFMA Member Rate \$23,000 Non-Member Rate</p> <p>SILVER BENEFITS One Complimentary Seminar Registration Access to One Hotel Room at the Main Hotel</p>	<p>CHARGING STICKS - AVAILABLE Co-branded Charging Sticks, produced and provided by sponsoring firm, placed in attendee bag and distributed to all Seminar participants during registration. Charging sticks are a great opportunity that offers additional brand awareness as the SIFMA C&L Annual Seminar is a paperless event that encourages attendees to use their handheld devices to download and access all event details placed on the Seminar App. Charging sticks will all participants to charge their devices on-the-go.</p> <p>ALUMINUM OR STAINLESS-STEEL REUSABLE STRAWS - SOLD Co-branded on outside packaging, environmentally friendly metal straws, produced and provided by sponsoring firm, placed in attendee tote bag and distributed to all participants during registration.</p> <p>INSULATED WATER BOTTLE - SOLD Co-branded, environmentally friendly sport bottle, produced and provided by sponsoring firm, placed in attendee tote bag and distributed to all participants during registration.</p>
	<p>FRUIT-INFUSED HYDRATION STATIONS SIFMA has worked to eliminate disposable water bottles at events by providing water filling stations in conveniently accessed areas throughout the event. Water stations feature sponsoring firm's logo on cups placed at various locations that include the Exhibition Hall and Meeting Space each day.</p> <p>Sunday, March 15 - Available Monday, March 16 - Available Tuesday, March 17 - Available Wednesday, March 18 - Available</p>



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BRONZE

INVESTMENT

\$16,000 SIFMA Member Rate

\$19,000 Non-Member Rate

BENEFITS INCLUDE

One 50% Discounted Seminar Registrations
(Early Bird Rate)

Access to One Hotel Room at the Main Hotel

SPONSORSHIP

DAILY NEWSPAPERS

The day's news (often including the Seminar) placed in a high traffic location, offering convenient access for participants each morning.

New York Times – SOLD

Wall Street Journal – Reserved

ALL DAY COFFEE SERVICE

Caffeine. Need we say more? Offering a variety of coffee options that include hot, iced and flavored coffee, with continuous access each day in the Exhibit Hall.

Co-sponsorship offered to two firms each day:

Monday, March 16 7:00 a.m. – 1:00 p.m.	Tuesday, March 17 7:00 a.m. – 1:00 p.m.	Wednesday, March 18 10:00 a.m. – 1:00 p.m.
(1) Reserved (1) Available	(2) Reserved	(2) Available



sifma[®] C&L2020 ANNUAL SEMINAR | SPONSORSHIP OPPORTUNITIES

Diana Serri | Managing Director, Head of Exhibit & Sponsorship Sales | 212.313.1258 | dserri@sifma.org

PREMIUM PATRON	
<p>INVESTMENT \$11,000 SIFMA Member Rate \$14,000 Non-Member Rate</p> <p>BENEFITS INCLUDE One 50% Discounted Seminar Registrations (Early Bird Rate) Access to One Hotel Room at the Main Hotel</p>	<p>ACKNOWLEDGEMENT Premium Patrons are acknowledged with their firm logo displayed in multiple locations throughout the event venue, as well as on printed materials distributed to Seminar participants.</p> <p>(8) SOLD</p>
EXHIBITOR	
<p>INVESTMENT \$10,000 SIFMA Member Rate \$13,500 Non-Member Rate</p> <p>BENEFITS INCLUDE Two Complimentary Exhibit Personnel Registration – Offers access to Exhibition Hall Only One 50% Discounted Seminar Registrations (Early Bird Rate) Access to Two Hotel Rooms at the Main Hotel</p>	<p>8'X10' EXHIBIT SPACE (17) SOLD</p>





SIFMA SmartBrief Packages - Available

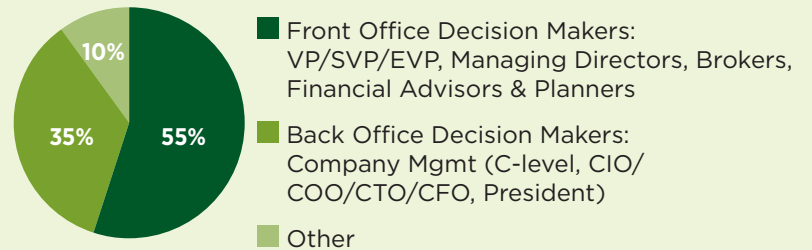
Advertising & Content Marketing Opportunities

SIFMA SmartBrief is a concise, comprehensive briefing on top industry news that drives best-in-class click through rates and consistent reader engagement.

Subscribers by Company Type



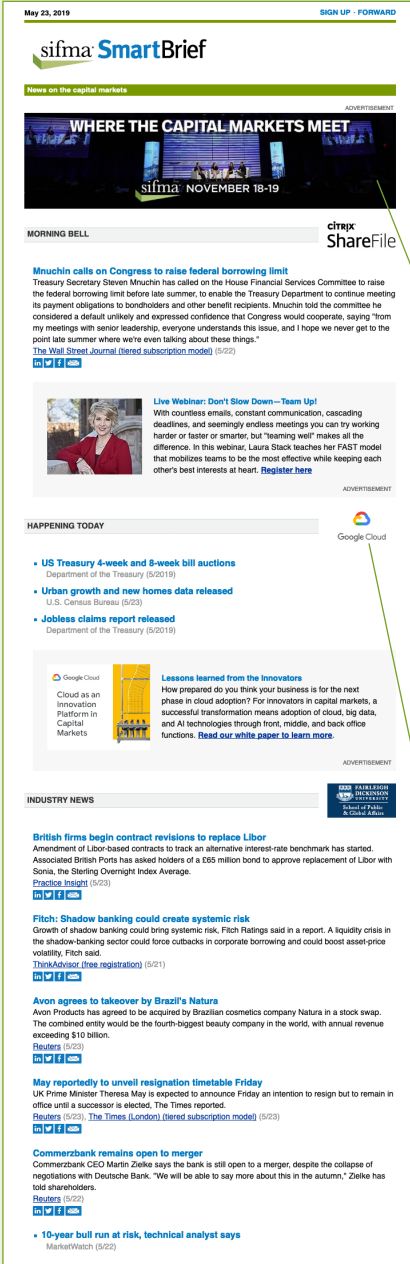
Subscribers by Position Function



SIFMA SMARTBRIEF	SIFMA SMARTBRIEF: AMG EDITION	SIFMA SMARTBRIEF: OPS/TECH EDITION
A daily snapshot of the capital markets Frequency: Daily (Monday to Friday) Subscriber Count: 57k	A weekly digest of curated and thoughtful pieces on the evolution of the asset management industry Frequency: Weekly (Tuesday) Subscriber Count: 15k	News on the capital markets for operations and technology professionals Frequency: Weekly (Thursday) Subscriber Count: 12k

PLATINUM PACKAGE 1 AVAILABLE	GOLD PACKAGE 1 AVAILABLE	SILVER PACKAGE 2 AVAILABLE
1x Pre-show Conference Report (value \$15,000) 1x Post-show Conference Report (value \$10,000) 2x Billboards (value \$2,950 each)	1x Special Report (value \$15,000) 3x Billboards or News 1 Rectangle-text (value \$2,950 each) 1x Landing Page (value \$1,000)	2x Billboards (value \$2,950 each) 2x News 1 (value \$2,950 each) 3x News 2 (value \$2,525 each) 1x Landing Page (value \$1,000)

SIFMA SPECIAL UPDATE PACKAGE



Display & Native Advertising

Billboard

As the top banner above the SmartBrief publication masthead, the Billboard is the first thing our readers see when they open the newsletter. Such prominent placement is great for branding and staying top-of-mind with our readers, and being above-the fold will ensure your ad is seen by the maximum number of readers.

- Creative Size: 970 x 250
- File Size: 250k maximum
- File Type: GIF/JPEG/PNG
- Click Through URL
- Animation:
 - 15 seconds maximum
 - Animation is not supported by all email clients. Include sponsor branding and call-to-action on first frame.
- Note: Ad will display at 650x167 in desktop view and scale on mobile devices.
- Note: Creatives sized at 728x90 may also be accepted

Featured Content

The Featured Content section gives you exclusive ownership of an entire newsletter section. Featured Content is ideal for promoting branded content. Through a Featured Content news section sponsorship, you can showcase your thought leadership in the context of a trusted news resource.

SECTION HEADER

- Section header: 30 characters max, excluding spaces
- Subheader: "Sponsored content brought to you by..."
- Ad logo (optional): 120 x 60 pixels. 30k maximum; GIF or JPEG and a clickthrough URL (optional)

HEADLINE UNIT

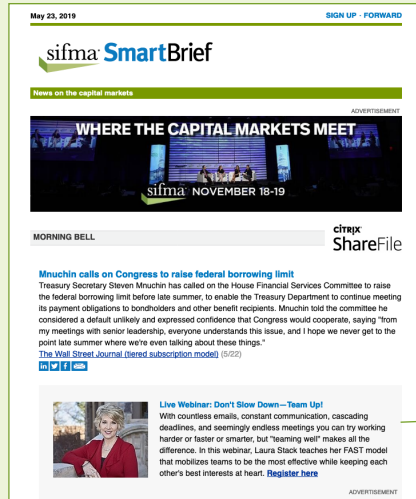
- 3-5 headlines per issue
- Headlines: 35 characters, excluding spaces
- Clickthrough URLs to be embedded in each headline, ungated

SUMMARY UNIT

- 1-2 summaries per issue
- Headline: 35 characters, excluding spaces
- Summary: 300 characters, excluding spaces
- Image: 180 x 150 pixels. 30k maximum; GIF or JPEG
- Clickthrough URL to be embedded in headline, ungated

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Rectangle-Text Ads (News 1, 2, 3, 4)

SmartBrief's news section sponsorships allow you to seamlessly align your brand message with our editorial content, positioning you as an industry resource. Make use of both images and text to provide useful resources, case studies or information about the features and benefits of a product using our high-performing advertising unit.

RECTANGLE-TEXT AD UNIT

- Ad Logo: 120 x 60; 50k maximum; GIF/JPEG/PNG
- Ad Image: 180 x 150; 50k maximum; GIF/JPEG/PNG
- Headline: 40 characters, excluding spaces
- Ad Copy: 250 characters, excluding spaces
- Click Through URL

Exclusive Opportunities Conference Report

As the exclusive sponsor of the official Conference Report, your organization can reach attendees

before and after the event with customized, relevant content. The two-part series offers readers a pre-show overview of conference themes and events, and a post-conference look back at key takeaways and highlights.



Special Report

A topic-based Special Report allows your organization to position your brand and

products alongside relevant news on a trending industry topic, curated by an expert editor. Leverage this to elevate your brand, and promote your core products and services.



Landing Page

Construct a landing page through SmartBrief and convert more actionable leads to your next promotion. SmartBrief-built landing pages auto-fill fields with each subscriber's corresponding demographic information, streamlining the user experience and resulting in higher conversion rates.

•Ad run dates served on a first-come, first-serve basis. Ads must be placed within one month of the sponsored event (30 days prior and after).

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CUSTOM BRANDED LIGHTBOX	
<p>INVESTMENT \$7,000 SIFMA Member Rate \$9,000 Non-Member Rate</p>	<p>SIFMA is offering all sponsors and exhibitors increased visibility throughout the event with our Lightbox Advertising Showcase. Sponsored Lightboxes are strategically placed in prominent locations throughout the Meeting Space and will be displayed over the full length of the Seminar. Lightboxes will be seen by your target audience as well as your competitors as they network with their clients, prospects and peers during the event.</p> <p>Includes all installation, dismantling and utility fees.</p> <p>(7) SOLD</p>
LUNCH & LEARNS	
<p>INVESTMENT \$5,500 SIFMA Member Rate \$6,500 Non-Member Rate</p>	<p>Exclusive to contracted sponsors and exhibitors, Lunch & Learn sessions offer an opportunity to share thought leadership to a captive audience during a one-hour Lunch & Learn presentation. Lunch & Learns are promoted as an official part of the program agenda.</p> <p>All titles and session descriptions are subject to pre-approval by SIFMA. A meeting room with standard A/V as well as a hot buffet lunch will be provided to host a maximum of 50 participants during each session. Up to four sessions will run concurrently on both Monday & Tuesday, March 16 & 17.</p> <p>Monday, March 16 – (3) SOLD (1) Available Tuesday, March 17 – (1) SOLD (3) Available</p>

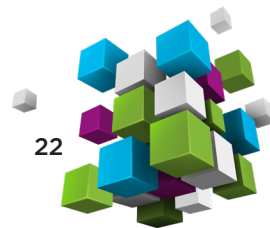
Annual Seminar

For more than 50 years, the premier event for leading compliance and legal professionals in financial services



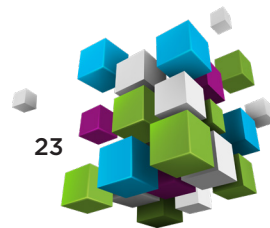
2019 Participating Firms

ABN AMRO Clearing Chicago LLC	Arnold & Porter Kaye Scholer LLP	Bloomberg Tradebook LLC	CellTrust Corporation
ACA Compliance Group	Ascent RegTech	BMO Capital Markets Corp.	Cetera Financial Group
Accenture Inc.	Ascent Technologies Inc.	BMO Financial Group	CFP Board
Accenture LLP	Asset Management Compliance Consulting Solutions, LLC	BMO Harris Bank	Charles River Associates
Advisor Group	Authentic8	BNP Paribas Securities Corp.	Charles Schwab & Co., Inc.
Akerman LLP	AxiomSL	BNY Mellon	Charles Schwab Corporation
Akin Gump Strauss Hauer & Feld LLP	Baker & Hostetler LLP	Boenning & Scattergood, Inc.	Charles Schwab Investment Advisory (CSIA)
Alabama Securities Commission	Baker, Donelson, Bearman, Caldwell & Berkowitz, PC	BOX Exchange LLC	China Renaissance Securities (US) Inc.
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Apex Clearing Corporation	BDT & Company, LLC	Capital One Financial Corporation	Coastal Equities, Inc.
Aplomb Strategies	Benjamin F. Edwards & Co.	Capital One Investing, LLC	Cohen & Gresser LLP
AQR Capital Management, LLC	Berkeley Research Group, LLC	Capital One, N.A.	
Aragon Mediation	Bingham Greenebaum Doll LLP	Cardozo Law School	
Arctic Securities LLC	Bloomberg Law	Carrington, Coleman, Sloman & Blumenthal, L.L.P.	
Arizona Corporation Commission Securities Division			



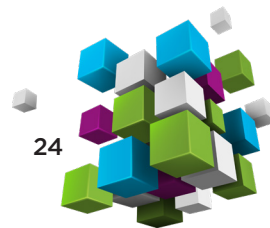
2019 Participating Firms

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Commerzbank AG	Deutsche Bank AG	Exane, Inc.	Fried, Frank, Harris, Shriver & Jacobson LLP
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Consilio	DLA Piper	Federal Reserve Bank of New York	G1 Execution Services, LLC
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Cornerstone Research Inc	Donovan & Rainie	Fidelity	Ghabai Law Group LLC
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Deal Cloud	Electronic Transaction Clearing, Inc.	FITS Consulting	Greensfelder, Hemker & Gale, P.C.
DealCloud	Ellenoff Grossman & Schole LLP	FMR LLC	
Debevoise & Plimpton LLP	Epstein Becker & Green, P.C.	Foley & Lardner LLP	
Dechert LLP	Ernst & Young LLP		
Deloitte	Eventus Systems		
Deloitte & Touche LLP			



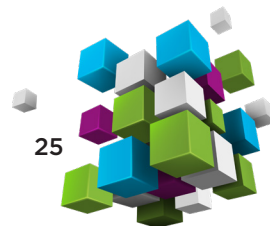
2019 Participating Firms

Guggenheim Partners, LLC	Intercontinental Exchange (ICE)	Kathy Adams Dispute Resolution Services	Liquidnet, Inc.
Guggenheim Securities, LLC	International Business Research	Katrina Photography	Liskow & Lewis
GX2 Systems, LLC	Intesa Sanpaolo SpA	Katten Muchin Rosenman LLP	Lloyds Banking Group
Hahn & Hessen LLP	INTL FCStone Inc.	Kean Miller LLP	Locke Lord LLP
Hanzo Archives	Inventus	Keesal, Young & Logan, P.C.	Loeb & Loeb LLP
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Hearsay Systems	Iron Mountain	Kessler & Collins P.C.	Long Term Stock Exchange
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ING Financial Markets LLC	Kapco Group, Inc.	Lewis Brisbois Bisgaard & Smith LLP	
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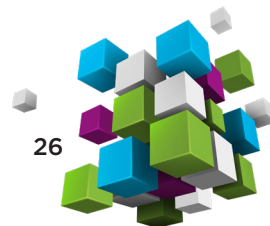
2019 Participating Firms

MFS Investment Management	NASDAQ	Oliver Wyman	PricewaterhouseCoopers International Limited
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Milbank LLP	National Futures Association (NFA)	OpenFin	Primerica
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Mitchell Hamline School of Law	Natixis Securities Americas LLC	Options Clearing Corporation	Prosperity Capital Advisors
Mitsubishi UFJ Financial Group, Inc. (MUFG)	NatWest Markets Securities Inc.	Orrick, Herrington & Sutcliffe LLP	Protective Life Corporation
Mitsubishi UFJ Trust and Banking Corporation, New York Branch	Navigant Consulting, Inc.	OS33	Protiviti
Mizuho Capital Markets Corporation	Neal, Gerber & Eisenberg LLP	Osler, Hoskin & Harcourt LLP	Prudential Financial, Inc.
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Morgan Stanley Smith Barney LLC	No Firm	Paul Hastings LLP	Rabo Securities USA, Inc.
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Morrison & Foerster LLP	North American Securities Administrators Association (NASAA)	Pershing LLC, a BNY Mellon Company	Raymond James & Associates, Inc.
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MUFG Union Bank, N.A.	Norton Rose Fulbright LLP	Phillipson & Uretsky, LLP	RBC Correspondent Services
Munger, Tolles & Olson LLP	n-Tier Financial Services LLC	Pillsbury Winthrop Shaw Pittman LLP	RBC Wealth Management
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2019 Participating Firms

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Ryan, Swanson & Cleveland, PLLC	SMBC Nikko Securities America, Inc.	TD Bank Group	U.S. Department of Justice
S&P Global Ratings	Smith Moore	TD Private Client Wealth LLC	UBS AG
S.D. Krasner Associates	Snell & Wilmer LLP	TD Securities (USA) LLC	UBS Financial Services Inc.
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Saretsky Hart Michaels & Gould PC	Societe Generale	The Brattle Group	UBS Wealth Management
Scales Consulting Group	Societe Generale Corporate & Investment Banking	The Fortress Law Firm, Inc.	Ulmer & Berne LLP
Schiff Hardin LLP	SS&C GlobeOp	The Guardian Life Insurance Company of America	United States Attorney's Office
Schnader Harrison Segal & Lewis LLP	StarCompliance	The Lampo Group	US Securities and Exchange Commission (SEC)
Schulte Roth & Zabel LLP	Stark & Stark Attorneys at Law	The Penn Mutual Life Insurance Company	USAA
Schwab Compliance Technologies, Inc.	State Farm VP Management Corp.	The SDDCO Group	USAA Investment Management Company
Scotia Capital (USA) Inc.	Stephens Inc.	The Taylor Law Offices, P.C.	Vandeventer Black LLP
SEBA International	Stephens Investment Management Group, LLC	Thompson Hine LLP	Vanguard
Securian Financial Services, Inc.	Stifel Financial Corp.	Thomson Reuters	
Securities America, Inc.	Stinson Leonard Street LLP	Thrivent Financial	
Securities Investor Protection Corporation (SIPC)	StoneTurn Group LLP	Thrivent Investment Management Inc.	
Securities Litigation Analysts LLC	Stradley Ronon Stevens & Young, LLP	TIAA	
Seward & Kissel LLP		TIAA-CREF Individual & Institutional Services, LLC	
Seyfarth Shaw LLP			



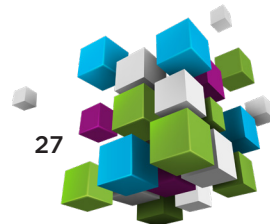


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Veritone, Inc.	Wells Fargo & Company	Western International Securities, Inc.	Winstead PC
Vermont Department of Financial Regulation	Wells Fargo Advisors	Westpac Banking Corporation	Winston & Strawn LLP
ViewTrade Securities, Inc.	Wells Fargo Advisors Financial Network, LLC	Wexler Burkhart Hirschberg & Unger, LLP	Wolfe Research LLC
Vigilant	Wells Fargo Asset Management	White & Case LLP	Wolters Kluwer Financial Services, Inc.
Volvo	Wells Fargo Bank	Wiand Guerra King P.L.	Wolverine Trading, LLC
Voya Financial, Inc.	Wells Fargo Bank, National Association	William Blair	Zanbato Securities LLC
Wachtell, Lipton, Rosen & Katz	Wells Fargo Clearing Services	Williams & Connolly LLP	ZL Technologies, Inc.
Walden Macht & Haran LLP	Wells Fargo Clearing Services, LLC	Willkie Farr & Gallagher LLP	



About Us

About SIFMA

www.sifma.org

SIFMA is the voice of the nation's securities industry, bringing together the shared interests of hundreds of broker-dealers, investment banks and asset managers. We advocate for effective and resilient capital markets. SIFMA is the leading trade association for broker-dealers, investment banks and asset managers operating in the U.S. and global capital markets. On behalf of our industry's nearly 1 million employees, we advocate on legislation, regulation and business policy, affecting retail and institutional investors, equity and fixed income markets and related products and services. We serve as an industry coordinating body to promote fair and orderly markets, informed regulatory compliance, and efficient market operations and resiliency. We also provide a forum for industry policy and professional development. SIFMA, with offices in New York and Washington, D.C., is the U.S. regional member of the Global Financial Markets Association (GFMA).

About the SIFMA Compliance & Legal Society

www.sifma.org/cl

SIFMA's Compliance & Legal Society is the leading forum for compliance and legal professionals working in the financial services industry. Membership provides a unique opportunity for industry leaders and regulators to come together to share information and collaborate with the goal of ensuring our capital markets are the most fair, transparent and ethical in the world.

